

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF ILLINOIS  
BENTON DIVISION**

AMILYN GABBARD )  
vs. )  
Plaintiff, )  
vs. )  
C.R. BARD, INC., a New Jersey corporation, )  
BARD PERIPHERAL VASCULAR, INC., ) Case No. 3:14-cv-00516-DRH-PMF  
(a subsidiary and/or division of defendant C.R. )  
BARD, INC.) an Arizona corporation, )  
Defendants. )  
COMPLAINT FOR DAMAGES  
DEMAND FOR JURY TRIAL

## **COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL**

Plaintiff, AMILYN GABBARD, by and through her undersigned attorneys, hereby sue Defendants C.R. BARD, INC.; BARD PERIPHERAL VASCULAR, INC., a subsidiary corporation and/or division of C.R. BARD, INC., (collectively, the “Defendants”) and allege as follows:

1. This is an action for damages relating to Defendants' development, testing, assembling, manufacture, packaging, labeling, preparing, distribution, marketing, supplying, and/or selling the defective product sold under the name "inferior vena cava filter" (hereinafter "IVC filter").

## PARTIES

## Plaintiffs

2. Plaintiff, Amilyn Gabbard (“Plaintiff”) is and always has been a citizen of and resident in Marion, Illinois, which is located in Williamson County, Illinois.
3. Venue is proper in Williamson County as a substantial part of the events or omissions giving rise to the claim occurred within this judicial district and the Defendants regularly conduct business in this District.

## Defendants

4. Defendant C.R. Bard, Inc. (“Bard”) is a corporation duly organized and existing under the laws of the state of Delaware and has its principal place in New Jersey. Bard at all times relevant to this

1 action, designed, set specifications, manufactured, prepared, compounded, assembled, processed,  
2 marketed, distributed, and sold the Eclipse Filter System to be implanted in patients throughout the  
3 United States, including Illinois. At all times relevant hereto, Defendant Bard was or has been  
4 engaged in business in Illinois, and has conducted substantial business activity in Illinois. Defendant  
5 has also carried on solicitations or service activities in the State of Illinois.

6 5. Defendant Bard Peripheral Vascular, Inc. (“BPV”) is a wholly owned subsidiary corporation of  
7 defendant Bard, with its principal place of business at 1625 West 3<sup>rd</sup> Street, Tempe, Arizona. BPV at  
8 all times relevant to this action, designed, set specifications, manufactured, prepared, compounded,  
9 assembled, processed, marketed, distributed, and sold the Eclipse Filter System to be implanted in  
10 patients throughout the United States, including Illinois. At all times relevant hereto, Defendant  
11 BPV was or has been engaged in business in Illinois, and has conducted substantial business activity  
12 in Illinois. Defendant has also carried on solicitations or service activities in the State of Illinois.

13 **JURISDICTION AND VENUE**

14 6. Jurisdiction is proper in this Court under 28 U.S.C. § 1332(a)(1) because the plaintiffs and the  
15 defendants are citizens of different states, and the amount in controversy exceeds \$75,000, excluding  
16 interest and costs.  
17 7. Venue is proper in this Court under 28 U.S.C. § 1391, as a substantial part of the events or omissions  
18 giving rise to the claim occurred within this judicial district and the Defendants regularly conduct  
19 business in this District.

20 **GENERAL FACTUAL ALLEGATIONS**

21 8. Plaintiff brings this case for serious injuries she suffered as a result of a surgically implanted medical  
22 device, known as an Eclipse Filter System (hereafter Eclipse, E Filter, or Eclipse Filter System),  
23 perforating through her vena cava and into her right hepatic vein causing serious and ongoing  
24 physical, emotional, and economic damages.  
25 9. The E Filter was designed, manufactured, prepared, compounded, assembled, processed, labeled,  
26 marketed, distributed, and sold by Defendants from approximately January 2010 to the present for  
27 prevention of blood clots (thrombi) from traveling from the lower portions of the body to the heart  
28 and lungs.

1 10. Prior to Plaintiff Amilyn Gabbard being implanted with an Eclipse filter on or about October 31,  
2 Defendants knew and should have known that the device was defective and unreasonably  
3 dangerous for, *inter alia*, the following reasons:

- 4 a. Defendants failed to conduct any clinical testing, such as animal studies, to determine how  
5 the device would function once permanently implanted in the human body.
- 6 b. Defendants knew and/or should have known that the Recovery Filter, G2 filter line and  
7 Eclipse had a high rate of fracture, migration, and excessive tilting and perforation of the  
8 vena cava wall once implanted in the human body. Defendants know and/or should have  
9 known that such failures exposed patients to serious injuries, including: death; hemorrhage;  
10 cardiac/pericardial tamponade; cardiac arrhythmia and other symptoms similar to myocardial  
11 infarction; severe and persistent pain; perforations of tissue, vessels, and organs; and inability  
12 to remove the device. Defendants also knew or should have known that certain conditions or  
13 post-implant procedures, such as morbid obesity or open abdominal procedures, could affect  
14 the safety and integrity of the device. Further, Defendants knew and should have known that  
15 these risks for the Recovery filter, G2 filter line, and Eclipse filter were and are substantially  
16 higher than other similar devices.
- 17 c. Further, Defendants knew and/or should have known that the Recovery filter, G2 filter line,  
18 and Eclipse filter contained conditions, which Defendants did not intend, which resulted in  
19 the device not performing as safely as the ordinary customer would expect.
- 20 d. Despite being aware of these risks, Defendants misrepresented, omitted, and/or failed to  
21 provide adequate warnings of these risks or instructions for safe use.
- 22 e. Even when Defendants designed and began marketing what they alleged to be a device that  
23 specifically reduced these risks, they still failed to issue a recall or notify consumers that a  
24 safer device was available.

#### **INFERIOR VENA CAVA FILTERS GENERALLY**

25 11. The IVC filter at issue in this case bears the trademark name “Eclipse” filter or “Eclipse Filter  
26 System”. The Eclipse Filter System (hereafter “Eclipse” or “Eclipse Filter”) was manufactured,  
27 marketed, and sold by Defendants, C.R. Bard, Inc. and/or Bard Peripheral Vascular, Inc., from  
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1 January 2010 until present. The Defendants continue to manufacture and sell the Eclipse throughout  
2 the United States of America and abroad.

3 12. IVC Filters first came on the medical market decades ago. Over the years, several different medical  
4 device manufacturers have introduced several different designs of IVC filters.

5 13. An IVC filter is a device that is designed to filter or “catch” blood clots (called “thrombi”) that travel  
6 from the lower portions of the body to the heart and lungs. IVC filters may be designed to be  
7 implanted, either permanently or temporarily, in the human body, more specifically, within the  
8 inferior vena cava.

9 14. The inferior vena cava is a vein that returns blood to the heart from the lower portions of the body.  
10 In certain people, for various reasons, thrombi travel from the vessels in the legs and pelvis, through  
11 the vena cava and into the lungs. Oftentimes, these thrombi develop in the deep leg veins. These  
12 thrombi are called “deep vein thrombosis” or “DVT”. Once thrombi reach the lungs, they are  
13 considered “pulmonary emboli” or “PE”. Pulmonary emboli present grave risks to human health.  
14 They can, and often do, result in death.

15. Certain people are at increased risk for the development of DVT or PE. For instance someone who  
16 undergoes knee or hip joint replacement is at risk for developing DVT/PE. Obese patients are also at  
17 increased risk for DVT/PE. So too are people who have vascular diseases or whom have  
18 experienced previous strokes. A number of other conditions predispose people to develop DVT/PE.

19 16. Those people at risk for DVT/PE can undergo medical treatment to manage the risk. For example, a  
20 doctor may prescribe medications like Heparin, Warfarin, or Lovenox to regulate the clotting factor  
21 of the blood. In some people who are at high risk for DVT/PE, or who cannot manage their  
22 conditions with medications, physicians may recommend surgically implanting an IVC filter to  
23 prevent thromboembolic events.

1 17. As stated in this Complaint, IVC filters have been on the market for decades. The first IVC filter  
2 was introduced in the later 1960's. Since then, the market has been supplemented with all types and  
3 designs of filters offered by many different manufacturers.

4 18. Over the years, a concern developed within the medical community (and was shared by IVC filter  
5 manufacturers) that an IVC filter should be designed and manufactured so that it is able to be  
6 retrieved from the human body. Ultimately, retrievable IVC filter designs were offered in the  
7 market. However, these IVC filter designs were not intended to remain within the human body for  
8 indeterminate periods of time. In other words, the initial designs of retrievable IVC filters were  
9 intended to remain implanted for a finite period of time. The Recovery<sup>TM</sup> Filter System<sup>1</sup> (discussed  
10 in more detail *infra*) was introduced to the market in late 2002 or 2003 (and subsequently removed  
11 from the market in late 2005) as an IVC filter that was able to be retrieved after an indeterminate  
12 time of placement within the human body.

13 **THE G2<sup>TM</sup> FILTER**

14 19. The G2<sup>TM</sup> Filter System is a medical device constructed of a nickel-titanium alloy (also called  
15 "Nitinol") designed to filter blood clots (thrombi) from the human circulatory system. Nitinol  
16 material is unique. Nitinol is actually an acronym that stands for Nickel Titanium Naval Ordnance  
17 Laboratory. Nitinol is also unique as it possesses "shape memory." That is, Nitinol will change  
18 shape according to change in temperature, and then, retake its prior shape after returning to its initial  
19 temperature. This quality makes Nitinol appealing for use in certain medical devices, including IVC  
20 filters.

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28<sup>1</sup> The Recovery<sup>TM</sup> Filter System is the predecessor device to the G2<sup>TM</sup> Filter System.

1 20. The design of the G2<sup>TM</sup> Filter System finds its roots in a predecessor device, also designed,  
2 manufactured and sold by the Defendants. The predecessor device was called the Recovery<sup>TM</sup> Filter  
3 System (hereafter “Recover” or “Recovery Filter”).  
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5 21. As stated *supra*, the Recovery<sup>TM</sup> Filter System was indeed the predecessor/predicate device for the  
6 G2<sup>TM</sup> Filter System. Soon after its introduction to the market, reports were made that portions of the  
7 device were fracturing and migrating to the anatomy and vital organs of the patients in whom it was  
8 implanted. These reports continued to surface and were made to healthcare providers, the F.D.A.,  
9 and to the Defendants. In fact, as early as 2003, the Defendants were made aware that the  
10 Recovery<sup>TM</sup> Filter System was flawed and was causing injury and death to patients who had the filter  
11 implanted in their bodies.  
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13 22. The Recovery<sup>TM</sup> Filter System was plagued with manufacturing and design defects which caused the  
14 Recovery<sup>TM</sup> Filter System to experience a significant rate of fracture and migration of the device.  
15 Studies performed in the medical and scientific communities established that the Recovery<sup>TM</sup> Filter  
16 had a 21% to 31.7% rate of fracture.  
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18 23. The failure of the Recovery<sup>TM</sup> Filter System, as aforesaid, was attributable, in part, to the fact that the  
19 Recovery<sup>TM</sup> Filter System was designed so as to be unable to withstand the normal anatomical and  
20 physiological loading cycles exerted *in vivo*.<sup>2</sup>  
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22 24. Sometime after 2003, the Defendants made a decision to introduce a substitute vena cava filter for  
23 Bard Peripheral Vascular’s vena cava filter product line. This substitute vena cava filter was meant  
24 to replace the Recovery<sup>TM</sup> Filter System. It was to be called the “G2 Filter”. G2 stands for “second  
25 generation”.  
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28 <sup>2</sup> Recovery<sup>TM</sup> Filter System was plagued with manufacturing defects, namely lack of preparation of the exterior surface of the device so as to eliminate gouges in the Nitinol struts of the device. These gouges

1 25. In 2005, the Defendants submitted an application to the F.D.A for introduction of the G2<sup>TM</sup> Filter to  
 2 the global market. The application was submitted under Section 510(k) of the United States Food,  
 3 Drug and Cosmetic Act (“Act”) of 1976 (21 U.S.C. 321 *et seq*). Under Section 510(k), a medical  
 4 device manufacturer may represent that the device which is offered for approval is “substantially  
 5 similar” to a “predicate device”. With regard to the G2 Filter, the Defendants represented to the  
 6 F.D.A that it was substantially similar to the Recovery<sup>TM</sup> Filter System (the predicate device).

7 26. The Defendants first received approval from the F.D.A. to market the G2<sup>TM</sup> Filter System as a  
 8 permanent placement vena cava filter. That is, the G2<sup>TM</sup> Filter System was not initially approved for  
 9 retrievable use. The Defendants began selling the G2<sup>TM</sup> Filter System in September of 2005. Later,  
 10 in 2008, the G2<sup>TM</sup> Filter was cleared by the F.D.A. as a retrievable (option) IVC filter.

13 **A COMPARISON OF THE RECOVERY<sup>TM</sup>  
FILTER SYSTEM AND THE G2<sup>TM</sup> FILTER SYSTEM**

15 27. The Recovery<sup>TM</sup> Filter System and the G2<sup>TM</sup> Filter System bear a strong resemblance in a number of  
 16 respects. First, they look strikingly similar in appearance and have the same design for filtration.  
 17 That is, the G2<sup>TM</sup> Filter System has six upper struts used for device positioning and filtering, and, six  
 18 lower struts used for anchoring and filtering-just like the Recovery<sup>TM</sup> Filter.

19 28. In addition, the G2<sup>TM</sup> Filter System is made of the same alloy material as the Recovery<sup>TM</sup> Filter  
 20 System. They both were manufactured of Nitinol, discussed *supra*.

22 29. Like the Recovery<sup>TM</sup> Filter, the G2<sup>TM</sup> Filter System is inserted *via* catheter that is guided by a  
 23 physician (typically an interventional radiologist) through a blood vessel into the inferior vena cava.  
 24 Both filters are designed to be retrieved in a somewhat similar fashion.

28 caused or contributed to cause the Recovery<sup>TM</sup> Filter System to fail/fracture. The G2 Filter continues to  
 have manufacturing defects in the form of “draw marks” on the exterior of the device.

1 30. Following endovascular placement of the G2<sup>TM</sup> Filter System, a physician typically uses imaging  
 2 studies (such as x-rays, “vena cava grams” or CT scans) to confirm successful placement and  
 3 positioning of the device within the vena cava.

4 31. Unfortunately, the G2<sup>TM</sup> Filter System also shares some of the defects of its ancestor. The G2<sup>TM</sup>  
 5 Filter System design causes it to be of insufficient integrity and strength to withstand normal  
 6 placement within the human body. The global stressors of the respiratory and cardiac cycles of the  
 7 human body cause the G2<sup>TM</sup> Filter System to develop stress or “fatigue” fractures of the Nitinol  
 8 surface of the device.

9 32. Also, like its predecessor, in addition to design defects, the G2<sup>TM</sup> Filter System suffers from  
 10 manufacturing defects. These manufacturing defects primarily include the existence of “draw  
 11 marking” and circumferential grinding markings on the exterior of the surface of the device. The  
 12 presence of these draw markings and/or circumferential grinding markings further compromises the  
 13 structural integrity of the G2<sup>TM</sup> Filter System while *in vivo*. In particular, the G2<sup>TM</sup> Filter System is  
 14 prone to fail at or near the location of draw markings/circumferential grinding markings on the struts  
 15 of the device. Put simply, the G2<sup>TM</sup> Filter System is not of sufficient strength to withstand normal  
 16 placement within the human body. The presence of the aforementioned exterior manufacturing  
 17 defects makes the device more susceptible to fatigue failure.

18 33. The G2<sup>TM</sup> Filter System is advertised by Defendants, C.R. Bard, Inc. and/or Bard Peripheral  
 19 Vascular, Inc., to have “enhanced fracture resistance,” “improved centering,” and “increased  
 20 migration resistance.” Defendant Bard Peripheral Vascular’s website<sup>3</sup> indicates that “data is on file”  
 21 with respect to these product enhancements.

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 28 <sup>3</sup> See [www.badpv.com/vascular/product.php?p+83](http://www.badpv.com/vascular/product.php?p+83) (last visited October 21, 2009).

1 34. Despite the Defendants' claims concerning the safety and efficacy of the G2<sup>TM</sup> Filter System, the  
2 F.D.A.'s "MAUDE" (Manufacturer and User Facility Device Experience) database includes several  
3 reports of the failure, fracture and migration of the G2<sup>TM</sup> Filter System.

4 35. Defendants represent the fracture rate of the G2<sup>TM</sup> Filter System to be 1.2%. Based upon a review of  
5 the data available in the public domain (including the F.D.A. MAUDE database statistics), this  
6 representation does not accurately reflect the true incidence of device fracture.

7 36. A review of the MAUDE database from the years 2004 – 2008 reveals data to establish that the  
8 Defendants' vena cava filters (including the G2<sup>TM</sup> Filter System) are responsible for a significant  
9 percentage of the reported adverse patient events involving vena cava filters. Specifically, the G2<sup>TM</sup>  
10 Filter System and the Recovery Filter account for and are responsible for the following event  
11 percentages:

12 a. 50% of all "adverse events";  
13 b. 64% of all occurrences of migration of the device;  
14 c. 69% of all occurrences of vena cava wall perforation;  
15 d. 70% of all occurrences of filter fracture.

16 **THE ECLIPSE FILTER**

17 37. In January 2010, the Eclipse filter was cleared by the F.D.A. for introduction to the global market.  
18 The application was submitted under section 510(k) of the United States Food, Drug and Cosmetic  
19 Act ("Act") of 1976 (21 U.S.C. 321 *et seq.*). The Defendants represented to the F.D.A. that the  
20 Eclipse was substantially similar to the G2 Filter System (predicate device).

21 38. The Eclipse Filter system is the third-generation of Bard's retrievable or optional filters. The Eclipse  
22 is made of the same nickel-titanium alloy, Nitinol, as the Bard Recovery and G2 filters. The design  
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1 of the Eclipse Filter System is based entirely off the G2 filter<sup>4</sup>, which is also designed, manufactured  
2 and sold by the Defendants. The only design difference is the fact that the filter is electropolished  
3 prior to the forming of the filter.

4 39. As seen with the Recovery and G2 filters, soon after its introduction to the market, reports were  
5 made that the Eclipse filter was fracturing, perforating, migrating, and/or tilting in the patients it was  
6 implanted in. The Eclipse filter system was still plagued with manufacturing and design defects that  
7 were causing damage to the general public that it was implanted in.

8 40. The failures of the Eclipse filter were attributable, in part, to the fact that the Eclipse filter was  
9 designed so as to be unable to withstand the normal anatomical and physiological loading cycles  
10 exerted *in vivo*.

11 **WHAT HAPPENS WHEN AN ECLIPSE FILTER SYSTEM FAILS?**

12 41. The failure (fracture and/or migration) of the Eclipse Filter System leads to a number of different,  
13 and potentially fatal, complications. These complications include, but are not limited to:

14 a. Death;  
15 b. Hemorrhage;  
16 c. Cardiac/pericardial tamponade (pressure caused by a collection of blood in the  
17 area around the heart);  
18 d. Severe and persistent pain; and  
19 e. Perforation of tissue, vessels and organs.

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27 <sup>4</sup> “G2 filter” – is meant to include the G2 as well as the G2 Express filters manufactured by Bard. The only difference  
28 between the G2 and the G2 Express is a hook at the top of the device for a physician to attempt removal.

1 42. The person who experiences failure (fracture and/or migration) of the Eclipse Filter System typically  
 2 experiences an acute onset of chest pain and shortness of breath. This typically results in the person  
 3 presenting to an emergency room, hospital, and/or physician for evaluation.  
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#### **THE CASE FOR MEDICAL MONITORING**

5 43. In certain cases, medical monitoring is required to evaluate whether an Eclipse Filter System (or  
 6 portions of the Eclipse Filter) has fractured, tilted and/or migrated (collectively referred to herein as  
 7 “device failure” or “failure”). In order to determine whether failure of the Eclipse Filter System has  
 8 occurred, imaging studies must be performed. Typically, these imaging studies will include un-  
 9 enhanced computed tomography scan (CT Scan) so that the filter may be visualized. CT Scan  
 10 imaging produces an image of the filter and is able to reveal whether the filter has fractured or  
 11 migrated.  
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13 44. Patients requiring medical monitoring are recommended<sup>5</sup> to undergo regular and frequent imaging  
 14 studies of the device or portions of the device at least once or twice annually. As long as the device,  
 15 or portions of the device, remains within the body of the patient, the potential for future device  
 16 failure exists. Consequently, these patients require regular and frequent medical monitoring for the  
 17 duration of time the device, or portions of the device, remain within their bodies.  
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19 45. Patients eligible for medical monitoring for the Eclipse Filter System or portions of the device need  
 20 not have experienced past failure of the Eclipse Filter System. For example, patients who have  
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 24 5 Research studies performed in 2008 call for the need of regular and frequent medical monitoring for a  
 25 patient who had the Recovery™ vena cava filter implanted in their body. This 2008 research study  
 26 performed by Jeffrey Hull, M.D. recommends regular and frequent monitoring of patients in whom the  
 27 Recovery Filter System remains implanted. (*Retrieval of the Recovery Filter after Arm Perforation, Fracture, and*  
*Migration to the Right Ventricle*, Hull et. al., J. Vasc. Intern. Radiol. 2008; 19:1107.1111). Dr. Hull specifically  
 28 recommends “imaging with un-enhanced abdominal CT to look for arm perforation, fracture, or migration  
 to further evaluate the scope and risk posed by this [the Recovery™ filter.]”

1       undergone implant of the Eclipse Filter System frequently learn that the Eclipse Filter System cannot  
2       be removed due to the fact that it has “grown into” tissue, but, the fracture, tilt or migration of the  
3       device may not yet have occurred. As a result of the inability to remove the Eclipse Filter System,  
4       the device must remain permanently implanted in the patient, for the patient’s lifetime. Although  
5       these patients may not yet have experienced device failure, they are at risk for future device failure  
6       and require regular and frequent monitoring to evaluate the integrity of the Eclipse Filter System. In  
7       addition to the aforementioned imaging studies, endovascular intervention (typically cardiac  
8       catheterization) may also be used by medical professionals to diagnose or discover whether fractured  
9       portions of the Eclipse Filter System have migrated to the heart or lungs. Furthermore, endovascular  
10      surgery may assess the nature and extent of the damage resulting from failure of the Eclipse Filter  
11      System.

14      46. In those instances where device fracture has occurred, and depending on the circumstances particular  
15      to the patient, a person may be required to undergo one or all of the following medical procedures:

- 17           a.       CT Scanning or other imaging studies;
- 18           b.       Cardiac Catheterization;
- 19           c.       Open heart surgery;
- 20           d.       Removal of the Eclipse Filter System from the vena cava.

21      47. The Eclipse Filter System was placed in Plaintiff’s body on or about October 31, 2011. Plaintiff  
22      discovered that the Eclipse Filter System tilted perforating her right hepatic vein on or about May,  
23      2012. Plaintiff was caused to undergo medical treatment as a result of the failure of the Eclipse  
24      Filter System. Plaintiff has incurred significant medical expenses and has endured extreme pain and  
25      suffering, loss of enjoyment of life, and other losses, some of which are permanent in nature. As a  
26      result of the failure of the Eclipse Filter System, Plaintiff has become impaired and her ability to  
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1 earn wages has been diminished, and will remain so in the future. The defective Eclipse Filter  
2 System remained in Plaintiff's body after the first attempted around or about May 8, 2012. Then,  
3 Plaintiff presented with continued pain and was recommended for another removal attempt, which  
4 occurred on or about May 20, 2012. After several attempts, the defective Bard Eclipse Filter was  
5 ultimately retrieved.

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7 48. As a direct and proximate result of the conduct and defective product of the Defendants C.R. Bard,  
8 Inc. and Bard Peripheral Vascular, Inc., as alleged in this Complaint, Plaintiff Amilyn Gabbard has  
9 suffered permanent and continuing injury, loss of enjoyment of life, pain, suffering, and impairment.  
10 Plaintiff has suffered emotional trauma, harm and injuries. Plaintiff's ability to carry on the affairs  
11 of her daily life has been impacted and diminished, and will continue to diminish in the future.

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13 49. As a direct and proximate result of the conduct and defective product of the Defendants, C.R. Bard,  
14 Inc. and Bard Peripheral Vascular, Inc., as alleged in this Complaint, the Plaintiff has incurred  
15 substantial medical expenses, and will continue to incur substantial medical expenses into the future.

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17 **THE NECESSITY FOR MEDICAL MONITORING**

18 50. As a direct and proximate result of the conduct and defective product of the Defendants, C.R. Bard,  
19 Inc. and Bard Peripheral Vascular, Inc., as alleged in this Complaint, medical monitoring is  
20 necessary for Plaintiff Amilyn Gabbard. Medical monitoring includes.

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22 a. Regularly scheduled CT scans or other appropriate imaging studies; and/or  
23 b. Potential cardiac catheterization or other endovascular procedure to detect the  
24 presence of migrated pieces of the Eclipse Filter System; and/or Physicians' visits  
25 and examinations.

# **THE DEFENDANTS' KNOWLEDGE OF THE FAILURE OF THE ECLIPSE FILTER SYSTEM AND THE DANGERS ASSOCIATED WITH THE DEVICE**

51. Upon information and belief, Plaintiff alleges that as early as 2010, the Defendants C.R. Bard, Inc. and Bard Peripheral Vascular, Inc. were aware and had knowledge of the fact that the Eclipse Filter System was defective and unreasonably dangerous and was causing serious and potentially life-threatening injuries to patients who had received the Eclipse Filter System.

52. Data established that the failure rate of the Eclipse Filter System was/is exceedingly higher than the rates the Defendants have published in the past, and currently continue to publish to the medical community, members of the public, and the F.D.A.

53. Over 921 adverse events were identified by the FDA through a warning issued in August of 2010 regarding risks associated with IVC filter complications.

54. From the time the Eclipse Filter System became available on the market, the Defendants C.R. Bard, Inc. and Bard Peripheral Vascular, Inc., made representations to physicians, healthcare professionals, and other members of the medical community that the Eclipse Filter System was safe and effective for retrievable use, when they knew or should've known it wasn't.

55. The conduct of the Defendants C.R. Bard, Inc. and Bard Peripheral Vascular, Inc. as alleged in this Complaint, constituted, willful, wanton, gross, and outrageous corporate conduct that demonstrates a conscious disregard for the safety of the Plaintiff Amilyn Gabbard. The Defendants C.R. Bard, Inc. and Bard Peripheral Vascular Inc. had actual knowledge of dangers to the life and limb of the Plaintiff Amilyn Gabbard presented by the Eclipse Filter System, yet consciously failed to act reasonably to:

a. Inform or warn the Plaintiff, her physicians, or the public at large of the dangers;  
and

b. Recall the Eclipse Filter System from the market in a timely and safe fashion;

56. Despite having knowledge as early as 2010 of the unreasonably dangerous and defective nature of the product, the Defendants C.R. Bard, Inc. and Bard Peripheral Vascular, Inc. consciously disregarded the known risks and continued to actively market and offer for sale the Eclipse Filter System.

57. Plaintiffs further allege that the Defendants C.R. Bard, Inc. and Bard Peripheral Vascular, Inc. acted in willful, wanton, gross manner, and in total disregard for the health and safety of the users or consumers of its Eclipse Filter System, including Plaintiff Amilyn Gabbard, and acted to serve their own interests and having reason to know and consciously pursued a course of conduct knowing that such conduct created a substantial risk of significant harm to other persons. Therefore, Defendants C.R. Bard, Inc. and Bard Peripheral Vascular, Inc. should be required to respond to the Plaintiffs in the form of a punitive or exemplary damage award.

## THE FEDERAL REQUIREMENTS

58. Federal regulation states that “recall means a firm’s removal or correction of a marketed product that the Food and Drug Administration considers to be in violation of the laws it administers and against which the agency would initiate legal action, e.g. seizure.” See 21 CFR §7.3(g).

59. Federal regulation states that “recall classification means the numerical designation, i.e., I, II or III, assigned by the Food and Drug Administration to a particular product recall to indicate the relative degree of health hazard presented by the product being recalled.” See 21 CFR §7.3(m).

60. Federal regulation states that “class II is a situation in which use of, or exposure to, a violative product may cause temporary or medically reversible adverse health consequences or where the probability of serious adverse health consequences is remote.” See 21 CFR §7.3(m).

1 61. The classification of the product withdrawals and corrections of the Defendant's devices (described  
2 above) as Class II Recalls by the F.D.A confirms by definition that the devices were in violation of  
3 federal law and that initiation of legal action or seizure would be indicated for these devices.  
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5 62. Pursuant to federal law, a device is deemed to be adulterated if, among other things, it fails to meet  
6 established performance standards, or if the methods, facilities or controls used for its manufacture,  
7 packing, storage or installation are not in conformity with federal requirements. See 21 U.S.C. §351.  
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9 63. Pursuant to federal law, a device is deemed to be misbranded if, among other things, its labeling is  
10 false or misleading in any particular manner, or if it is dangerous to health when used in the manner  
11 prescribed, recommended or suggested in the labeling thereof. See 21 U.S.C. §352.  
12

13 64. Pursuant to federal law, manufacturers are required to comply with F.D.A. regulation of medical  
14 devices, including F.D.A. requirements for records and reports, in order to prohibit introduction of  
15 medical devices that are adulterated or misbranded, and to assure the safety and effectiveness of  
16 medical devices. In particular, manufacturers must keep records and make reports if any medical  
17 device that may have caused or contributed to death or serious injury, or if the device has  
18 malfunctioned in a manner likely to cause or contribute to death or serious injury. Federal law also  
19 mandates that the F.D.A. establish regulations requiring a manufacturer of a medical device to report  
20 promptly to F.D.A. any correction or removal of a device undertaken to reduce a risk to health posed  
21 by the device, or to remedy a violation of federal law by which a device may present a risk to health.  
22

23 See 21 U.S.C. §360(i).  
24

25 65. Pursuant to federal law, the Secretary of Health and Human Services may prescribe regulations  
26 requiring that the methods used in, and that facilities and controls used for, the manufacture, pre-  
27 production design validation (including a process to assess the performance of a device but not  
28 including an evaluation of the safety or effectiveness of a device), packaging, storage, and

1 installation of a device conform to current good manufacturing proactive, as prescribed in such  
2 regulations, to assure that the device will be safe and effective and otherwise in compliance with  
3 federal law. See 21. U.S.C §360j(f).

4  
5 66. Pursuant to F.D.A. regulation, adverse events associated with a medical device must be reported to  
6 F.D.A. within 30 days after the manufacturer becomes aware that a device may have caused or  
7 contributed to death or serious injury, or that a device has malfunctioned and would be likely to  
8 cause or contribute to death or serious injury if the malfunction was to recur. Such reports must  
9 contain all information reasonably known to the manufacturer, including any information that can be  
10 obtained by analysis, testing, or other evaluation of the device, and any information in the  
11 manufacturer's possession. In addition, manufacturers are responsible for conducting an  
12 investigation of each adverse event, and must evaluate the cause of the adverse event. See 21 CFR  
13 §803.50.

14  
15 67. Pursuant to federal regulation, manufacturers of medical devices must also describe in every  
16 individual adverse event report whether remedial action was taken in regard to the adverse event,  
17 and whether the remedial action was reported to F.D.A. as a removal or correction of the device. See  
18 21 CFR §803.52.

19  
20 68. Pursuant to federal regulation, manufacturers must report to F.D.A. within five (5) business days  
21 after becoming aware of any reportable MDR event or events, including a trend analysis that  
22 necessitates remedial action to prevent an unreasonable risk of substantial harm to the public health.  
23 See 21 CFR §803.53.

24  
25 69. Pursuant to federal regulation, device manufacturers must report promptly to F.D.A. any device  
26 corrections and removals, and maintain records of device corrections and removals. F.D.A.  
27 regulations require submission of a written report within ten (10) working days of any correction or

1 removal of a device initiated by the manufacturer to reduce a risk to health posed by the device, or to  
2 remedy a violation of the Act caused by the device, which may present a risk to health. The written  
3 submission must contain, among other things, a description of the event giving rise to the  
4 information reported and the corrective or removal actions taken, and any illness or injuries that have  
5 occurred with use of the device, including reference to any device report numbers. Manufacturers  
6 must also indicate the total number of devices manufactured or distributed which are subject to the  
7 correction or removal, and provide a copy of all communications regarding the correction or  
8 removal. See 21 CFR §806.

10 70. Pursuant to federal regulation, manufacturers must comply with specific quality system requirements  
11 promulgated by F.D.A.. These regulations require manufacturers to meet design control  
12 requirements, including but not limited to conducting design validation to ensure that devices  
13 conform to defined user needs and intended uses. Manufacturers must also meet quality standards in  
14 manufacture and production. Manufacturers must establish and maintain procedures for  
15 implementing corrective actions and preventive actions, and investigate the cause of nonconforming  
16 products and take corrective action to prevent recurrence. Manufacturers are also required to review  
17 and evaluate all complaints and determine whether an investigation is necessary. Manufacturers are  
18 also required to use statistical techniques where necessary to evaluate product performance. See 21  
19 CFR §820.

20 71. The regulations requiring conformance to good manufacturing practices are set forth in 21 CFR §820  
21 et seq. As explained in the Federal Register, because the Current Good Manufacturing Practice  
22 (CGMP) regulations must apply to a variety of medical devices, the regulations do not prescribe the  
23 details for how a manufacturer must produce a device. Rather, the quality system regulations  
24 provide a framework of basic requirements for each manufacturer to use in establishing a quality

1 system appropriate to the devices designed and manufactured, and the manufacturing processes  
2 employed. Manufacturers must adopt current and effective methods and procedures for each device  
3 they design and manufacture to comply with and implement the basic requirements set forth in the  
4 quality system regulations.  
5

6 72. Pursuant to 21 CFR §820.1(c), the failure to comply with any applicable provision in Part 820  
7 renders a device adulterated under section 501(h) of the Federal Food Drug & Cosmetic Act (“the  
8 Act”) (21 U.S.C. § 351).

9 73. Pursuant to 21 CFR §820.5, each manufacturer shall establish and maintain a quality system that is  
10 appropriate for the specific medical device designed or manufactured. “Quality system” means the  
11 organizations structure, responsibilities, procedures, processes, and resources for implementing  
12 quality management. See 21 CFR §820.3(v).

14 74. Pursuant to 21 CFR §820.22, each manufacturer shall establish procedures for quality audits and  
15 conduct such audits to assure that the quality system is in compliance with the established quality  
16 system requirements and to determine the effectiveness of the quality system.

18 75. Pursuant to 21 CFR §820.30(a), each manufacturer shall establish and maintain procedures to  
19 control the design of the device in order to ensure that specified design requirements are met.

20 76. Pursuant to 21 CFR §820.30(d), each manufacturer shall establish and maintain procedures for  
21 defining and documenting design output in terms that allow an adequate evaluation of conformance  
22 to design input requirements.

24 77. Pursuant to 21 CFR §820.30(e), each manufacturer shall establish and maintain procedures to ensure  
25 that formal documented reviews of the design results are planned and conducted at appropriate  
26 stages of the device’s design development.

1 78. Pursuant to 21 CFR §820.30(f), each manufacturer shall establish and maintain procedures for  
2 verifying the device design to confirm that the device design output meets the design input  
3 requirements.

4 79. Pursuant to 21 CFR §820.30(g), each manufacturer shall establish and maintain procedures for  
5 validating the device design. Design validation shall be performed under defined operating  
6 conditions on initial production units, lots, or batches, or their equivalents. Design validations shall  
7 ensure that devices conform to defined user needs and intended uses and shall include testing of  
8 production units under actual or simulated use conditions.

9 80. Pursuant to 21 CFR §820.30(h), each manufacturer shall establish and maintain procedures to ensure  
10 that the device design is correctly translated into production specifications.

11 81. Pursuant to 21 CFR §820.30(i), each manufacturer shall establish and maintain procedures for the  
12 identification, documentation, validation or where appropriate verification, review, and approval of  
13 design changes before their implementation.

14 82. Pursuant to 21 CFR §820.70(a), each manufacturer shall develop, conduct, control, and monitor  
15 production processes to ensure that a device conforms to its specifications. Where deviations from  
16 device specifications could occur as a result of the manufacturing process, the manufacturer shall  
17 establish and maintain process control procedures that describe any process controls necessary to  
18 ensure conformance to specifications. Such process controls shall include:

19 a. Documented instructions, standard operating procedures (SOP's), and methods  
20 that define and control the manner of production;

21 b. Monitoring and control of process parameters and component and device  
22 characteristics during production;

23 c. Compliance with specified reference standards or codes;

- d. The approval of processes and process equipment; and
- e. Criteria for workmanship which shall be expressed in documented standards or by means of identified and approved representative samples.

83. Pursuant to 21 CFR §820.70(b), each manufacturer shall establish and maintain procedures for changes to a specification, method, process, or procedure.

84. Pursuant to 21 CFR §820.70(c), each manufacturer shall establish and maintain procedures to adequately control environmental conditions that could reasonably be expected to have an adverse effect on product quality, including periodic inspection of environmental control system(s) to verify that the system, including necessary equipment, is adequate and functioning properly.

85. Pursuant to 21 CFR §820.70(e), each manufacturer shall establish and maintain procedures to prevent contamination of equipment or product by substances that could reasonably be expected to have an adverse effect on product quality.

86. Pursuant to 21 CFR §820.70(g), each manufacturer shall ensure that all equipment used in the manufacturing process meets specified requirement and is appropriately designed, constructed, placed, and installed to facilitate maintenance, adjustment, cleaning and use.

87. Pursuant to 21 CFR §820.70(h), each manufacturer shall establish and maintain procedures for the use and removal of manufacturing material which could reasonably be expected to have an adverse effect on product quality to ensure that it is removed or limited to an amount that does not adversely affect the device's quality.

88. Pursuant to 21 CFR §820.70(i), when computers or automated data processing systems are used as part of production or the quality system, the manufacturer shall validate computer software for its intended use according to an established protocol.

89. Pursuant to 21 CFR §820.72, each manufacturer shall ensure that all inspection, measuring, and test equipment, including mechanical, automated, or electronic inspection and test equipment, is suitable for its intended purposes and is capable of producing valid results. Each manufacturer shall establish and maintain procedures to ensure that equipment is routinely calibrated, inspected, checked, and maintained.

90. Pursuant to 21 CFR §820.75(a), where the results of a process cannot be fully verified by subsequent inspection and test, the process shall be validated with a high degree of assurance and approved according to established procedures. “Process validation” means establishing by objective evidence that a process consistently produces a result or product meeting its predetermined specifications. See 21 CFR §820.3(z)(1).

91. Pursuant to 21 CFR §820.75(b), each manufacturer shall establish and maintain procedures for monitoring and control of process parameters for validated processes to ensure that the specified requirements continue to be met. Each manufacturer shall ensure that validated processes are performed by qualified individuals.

92. Pursuant to 21 CFR §820.90, each manufacturer shall establish and maintain procedures to control product that does not conform to specified requirements.

93. Pursuant to 21 CFR §820.100, each manufacturer shall establish and maintain procedures for implementing corrective and preventive action. The procedures shall include requirements for:

a. Analyzing processes, work operations, concessions, quality audit reports, quality records, service records, complaints, returned product, and other sources of quality data to identify existing and potential causes of nonconforming product, or other quality problem,

- b. Investigating the cause of nonconformities relating to product, processes and the quality system;
- c. Identifying the action(s) needed to correct and prevent recurrence of nonconforming product and other quality problems;
- d. Verifying or validating the corrective and preventative action to ensure that such action is effective and does not adversely affect the finished device;
- e. Implementing and recording changes in methods and procedures needed to correct and prevent identified quality problems;
- f. Ensuring that information related to quality problems or nonconforming product is disseminated to those directly responsible for assuring the quality of such product or the prevention of such problems; and
- g. Submitting relevant information on identified quality problems, as well as corrective and preventative actions, for management review.

## **DEFENDANTS' ECLIPSE FILTER SYSTEM IS A 510(k) CLEARED MEDICAL DEVICE**

94. Defendants submitted a §510(k) premarket notification and obtained marketing cleared for its Eclipse Filter System from the F.D.A. under Section 510(k) of the Act. *See* 21 U.S.C. §360 *et seq.*

95. Under the §510(k) approval process, the F.D.A. determined that Defendants' Eclipse Filter System was "substantially equivalent" to devices that have been reclassified in accordance with the provisions of the Act and did not require F.D.A. approval of a pre-market approval application (PMA).

96. Upon information and belief, Defendants' Eclipse Filter System is adulterated pursuant to 21 U.S.C. §351 because, among other things, it failed to meet established performance standards, and/or the methods, facilities, or controls used for its manufacture, packing, storage or installation are not in

1 conformity with federal requirements. *See* 21 U.S.C. §351.

2 97. Upon information and belief, Defendants' Eclipse Filter System is misbranded because, among other  
3 things, it is dangerous to health when used in the manner prescribed, recommended or suggested in  
4 the labeling thereof. *See* 21 U.S.C. §352.

5 98. Upon information and belief, Defendants' Eclipse Filter System is adulterated pursuant to 21 U.S.C.  
6 §351 because Defendants failed to establish and maintain CGMP for their Eclipse Filter System in  
7 accordance with 21 CFR §820 *et seq.*, as set forth above.

8 99. Upon information and belief, Defendants failed to establish and maintain CGMP with respect to the  
9 quality audits, quality testing and process validation for their Eclipse Filter System.

10 100. As a result of Defendants' failure to establish and maintain CGMP as set forth above,  
11 Defendants' Eclipse Filter System was defective and failed, resulting in injuries to the Plaintiff.

12 101. If Defendants had complied with the federal requirements regarding CGMP, Defendants' Eclipse  
13 Filter System would have been manufactured properly such that it would not have resulted in  
14 injuries to the Plaintiff.

#### **SPECIFIC FACTUAL ALLEGATIONS AS TO PLAINTIFF**

15 102. On or about October 31, 2011, Plaintiff underwent surgical placement of an Eclipse Filter  
16 System.

17 103. This Eclipse Filter device was designed, manufactured, prepared, compounded, assembled,  
18 processed, marketed, distributed, and sold by Defendants Bard and BPV.

19 104. The Eclipse Filter had significantly tilted inside the vena cava causing Plaintiff significant pain.  
20 On or about May 8, 2012, Plaintiff underwent her first removal attempt, but it was ultimately  
21 unsuccessful because of the significant tilt of the filter. Plaintiff underwent her second removal  
22 because the filter was perforating into the right hepatic vein. Fortunately for the Plaintiff, the second  
23 removal attempt was ultimately successful. Plaintiff has suffered significant medical expenses, pain  
24 and suffering, loss of enjoyment of life, disability, and other losses.

## **FRUADULENT CONCEALMENT**

105. Any applicable statutes of limitation have been tolled by the knowing and active concealment and denial of material facts known by Defendants when they had a duty to disclose those facts. They have kept Plaintiff ignorant of vital information essential to the pursuit of their claims, without any fault or lack of diligence on Plaintiff's part, for the purpose of obtaining delay on Plaintiff's part in filing on their causes of action. Defendants' fraudulent concealment did result in such delay.

106. Defendants are estopped from relying on the statute of limitations defense because Defendants failed to timely disclose, among other things, facts evidencing the defective and unreasonably dangerous nature of the Recovery® and G2® Filter Systems.

107. The Defendants are and were under a continuing duty to disclose the true character, quality and nature of the device that was implanted in Plaintiff, but instead they concealed them. Defendants' conduct, as described in this complaint, amounts to conduct purposely committed, which Defendants must have realized was dangerous, heedless and reckless, without regard to the consequences or the rights and safety of Plaintiff.

## **CORPORATE/VICARIOUS LIABILITY**

108. At all times herein mentioned, each of the Defendants was the agent, servant, partner, aider and abettor, co-conspirator and/or joint venturer of each of the other Defendants herein and was at all times operating and acting within the purpose and scope of said agency, service, employment, partnership, conspiracy and/or joint venture and rendered substantial assistance and encouragement to the other Defendants, knowing that their collective conduct constituted a breach of duty owed to the Plaintiff.

109. There exists and, at all times herein mentioned, there existed a unity of interest in ownership between certain Defendants and other certain Defendants such that any individuality and separateness between the certain Defendants has ceased and these Defendants are the alter ego of the other certain Defendants and exerted control over those Defendants. Adherence to the fiction of the separate existence of these certain Defendants as entities distinct from other certain Defendants will permit an abuse of the corporate privilege and would sanction a fraud and/or would promote injustice.

1 110. At all times herein mentioned, Defendants, and each of them, were engaged in the business of, or  
 2 were successors in interest to, entities engaged in the business of researching, designing,  
 3 formulating, compounding, testing, manufacturing, producing, processing, assembling, inspecting,  
 4 distributing, marketing, labeling, promoting, packaging, prescribing and/or advertising for sale, and  
 5 selling products for use by the Plaintiff. As such, each Defendant is individually, as well as jointly  
 6 and severally, liable to the Plaintiff for Plaintiff's damages.

7 111. At all times herein mentioned, the officers and/or directors of the Defendants named herein  
 8 participated in, authorized and/or directed the production and promotion of the aforementioned  
 9 products when they knew, or with the exercise of reasonable care and diligence should have known,  
 10 of the hazards and dangerous propensities of said products, and thereby actively participated in the  
 11 tortious conduct that resulted in the injuries suffered by the Plaintiff.

12 **FIRST CAUSE OF ACTION**  
 13 **NEGLIGENCE**

14 112. Plaintiff re-alleges and incorporates by reference each and every allegation contained in the  
 15 foregoing paragraphs as though fully set forth herein.

16 113. At all times relevant to this cause of action, the Defendants Bard and BPV were in the business  
 17 of designing, developing, setting specifications, manufacturing, marketing, selling, and distributing  
 18 the Recovery® and G2® Filters.

19 114. Defendants designed, manufactured, marketed, inspected, labeled, promoted, distributed and sold  
 20 the Eclipse Filter that was implanted in Plaintiff.

21 115. Defendants had a duty to exercise reasonable and prudent care in the development, testing,  
 22 design, manufacture, inspection, marketing, labeling, promotion, distribution and sale of the Eclipse  
 23 Filter so as to avoid exposing others to foreseeable and unreasonable risks of harm.

24 116. Defendants knew or reasonably should have known that the Eclipse Filter was dangerous or was  
 25 likely to be dangerous when used in its intended or reasonably foreseeable manner.

26 117. At the time of manufacture and sale of the E Filter (January 2010 until Present), Defendants  
 27 knew or should have known that the Eclipse Filter:

28 a. Was designed and manufactured in such a manner so as to present an  
 unreasonable risk of fracture of portions of the device;

- b. Was designed and manufactured so as to present a unreasonable risk of migration of the device and/or portions of the device; and/or
- c. Was designed and manufactured so as to present a unreasonable risk of the device tilting and/or perforating the vena cava wall; and/or
- d. Was designed and manufactured to have unreasonable and insufficient strength or structural integrity to withstand normal placement within the human body.

118. At the time of manufacture and sale of the Eclipse Filter (January 2010 until Present), Defendants knew or should have known that using the Eclipse Filter in its intended use or in a reasonably foreseeable manner created a significant risk of a patient suffering severe health side effects, including, but not limited to: hemorrhage; cardiac/pericardial tamponade; cardiac arrhythmia and other symptoms similar to myocardial infarction; perforations of tissue, vessels and organs; and other severe personal injuries and diseases, which are permanent in nature, including, but not limited to, death, physical pain and mental anguish, scarring and disfigurement, diminished enjoyment of life, continued medical care and treatment due to chronic injuries/illness proximately caused by the device; and the continued risk of requiring additional medical and surgical procedures including general anesthesia, with attendant risk of life threatening complications.

119. Defendants knew or reasonably should have known that consumers of the Eclipse Filter would not realize the danger associated with using the device in its intended use and/or in a reasonably foreseeable manner.

120. Defendants breached their duty to exercise reasonable and prudent care in the development, testing, design, manufacture, inspection, marketing, labeling, promotion, distribution and sale of the Eclipse Filter in, among other ways, the following acts and omissions:

- a. Designing and distributing a product in which they knew or should have known that the likelihood and severity of potential harm from the product exceeded the burden of taking safety measures to reduce or avoid harm;
- b. Designing and distributing a product in which they knew or should have known that the likelihood and severity of potential harm from the product exceeded the likelihood of potential harm from other device available for the same purpose;

- c. Failing to use reasonable care in manufacturing the product and producing a product that differed from their design or specifications or from other typical units from the same production line;
- d. Failing to use reasonable care to warn or instruct, including pre and post sale, Plaintiff, Plaintiff's physicians, or the general health care community about the Eclipse Filter's substantially dangerous condition or about facts making the product likely to be dangerous;
- e. Failing to perform reasonable pre and post-market testing of the Eclipse Filter to determine whether or not the product was safe for its intended use;
- f. Failing to provide adequate instructions, guidelines, and safety precautions, including pre and post sale, to those persons to whom it was reasonably foreseeable would prescribe, use, and implant the Eclipse Filter;
- g. Advertising, marketing and recommending the use of the Eclipse Filter, while concealing and failing to disclose or warn of the dangers known by Defendants to be connected with and inherent in the use of the Eclipse Filter;
- h. Representing that the Eclipse Filter was safe for its intended use when in fact, Defendants knew and should have known the product was not safe for its intended purpose;
- i. Continuing manufacture and sale of the Eclipse Filter with the knowledge that said product was dangerous and not reasonably safe, and failing to comply with FDA good manufacturing regulations;
- j. Failing to use reasonable and prudent care in the design, research, manufacture, and development of the Eclipse Filter so as to avoid the risk of serious harm associated with the use of the Eclipse Filter;
- k. Failing to establish an adequate quality assurance program used in the manufacturing of the Eclipse Filter; and
- l. Failing to establish and maintain an adequate post-market surveillance program.

121. A reasonable manufacturer, distributor, or seller under the same or similar circumstances would not have engaged in the before-mentioned acts and omissions.

122. As a direct and proximate result of the foregoing negligent acts and omissions by Defendants, Plaintiff has suffered and will continue to suffer serious physical injuries, economic loss, loss of enjoyment of life, disability, and other losses, in an amount to be determined at trial.

**SECOND CAUSE OF ACTION**  
**STRICT PRODUCTS LIABILITY - FAILURE TO WARN**

123. Plaintiff re-alleges and incorporates by reference each and every allegation contained in the foregoing paragraphs as though fully set forth herein.

124. Defendants designed, set specifications, manufactured, prepared, compounded, assembled, processed, marketed, labeled, distributed, and sold the Eclipse Filter, including the one implanted into Plaintiff, into the stream of commerce and in the course of same, directly advertised and marketed the device to consumers or persons responsible for consumers.

125. At the time Defendants designed, manufactured, prepared, compounded, assembled, processed, marketed, labeled, distributed, and sold the device into the stream of commerce, Defendants knew or should have known the device presented an unreasonable danger to users of the product when put to its intended and reasonably anticipated use. Specifically, Defendants knew or should have known at the time they manufactured, labeled, distributed and sold the Eclipse Filter, which was implanted in Plaintiff, that the Eclipse Filter, *inter alia*, posed a significant and higher risk than other similar devices of device failure (fracture, migration, tilting, and perforation of the vena cava wall) and resulting serious injuries. Upon information and belief, Defendants also knew or should have known that certain conditions or post-implant procedures, such as morbid obesity or open abdominal procedures, could affect the safety and integrity of the device.

126. Therefore, Defendants had a duty to warn of the risk of harm associated with the use of the device and to provide adequate instructions on the safe and proper use of the device. Defendants further had a duty to warn of dangers and proper safety instructions that it became aware of even after the device was distributed and implanted in Plaintiff.

127. Despite this duty, Defendants failed to adequately warn of material facts regarding the safety and efficacy of the Eclipse Filter, and further failed to adequately provide instructions on the safe and proper use of the device.

128. No health care provider, including Plaintiff's, or patient would have used the device in the manner directed, had those facts been made known to the prescribing healthcare providers and/or ultimate users of the device.

1 129. The health risks associated with the device as described herein are of such a nature that ordinary  
2 consumers would not have readily recognized the potential harm.

3 130. Plaintiff and Plaintiff's health care providers used the device in a normal, customary, intended,  
4 and foreseeable manner, namely as a surgically implanted device used to prevent pulmonary  
5 embolisms.

6 131. Therefore, the Eclipse Filter implanted in Plaintiff was defective and unreasonably dangerous at  
7 the time of release into the stream of commerce due to inadequate warnings, labeling and/or  
8 instructions accompanying the product.

9 132. The Eclipse Filter implanted in Plaintiff was in the same condition as when it was manufactured,  
10 inspected, marketed, labeled, promoted, distributed and sold by Defendants.

11 133. As a direct and proximate result of Defendants' lack of sufficient warning and/or instructions,  
12 Plaintiff has suffered and will continue to suffer serious physical injuries, economic loss, loss of  
13 enjoyment of life, disability, and other losses, in an amount to be determined at trial.

14 **THIRD CAUSE OF ACTION**  
15 **STRICT PRODUCTS LIABILITY – DESIGN DEFECTS**

16 134. Plaintiff re-alleges and incorporates by reference each and every allegation contained in the  
17 foregoing paragraphs as though fully set forth herein.

18 135. At all times relevant to this action, Defendants developed, tested, designed, manufactured,  
19 inspected, labeled, promoted, distributed and sold into the stream of commerce the Eclipse Filter,  
20 including the one implanted in Plaintiff.

21 136. The Eclipse Filter was expected to, and did, reach its intended consumers without substantial  
22 change in the condition in which it was in when it left Defendants' possession. In the alternative,  
23 any changes that were made to Eclipse Filter implanted in Plaintiff were reasonably foreseeable to  
24 Defendants.

25 137. The Eclipse Filter implanted in Plaintiff was defective in design because it failed to perform as  
26 safely as persons who ordinarily use the product would have expected at the time of use.

27 138. The Eclipse Filter implanted in Plaintiff was defective in design, in that its risks of harm  
28 exceeded its claimed benefits.

1 139. Plaintiff and Plaintiff's health care providers used the Eclipse Filter in a manner that was  
2 reasonably foreseeable to Defendants.

3 140. Neither Plaintiff, nor Plaintiff's health care providers could have by the exercise of reasonable  
4 care discovered the devices defective condition or perceived its unreasonable dangers prior to  
5 Plaintiff's implantation with the device.

6 141. As a direct and proximate result of the Eclipse Filter's defective design, Plaintiff has suffered  
7 and will continue to suffer serious physical injuries, economic loss, loss of enjoyment of life,  
8 disability, and other losses, in an amount to be determined at trial.

9 **FOURTH CAUSE OF ACTION**  
10 **STRICT PRODUCTS LIABILITY – MANUFACTURING DEFECT**

11 142. Plaintiff re-alleges and incorporates by reference each and every allegation contained in the  
12 foregoing paragraphs as though fully set forth herein.

13 143. Defendants designed, set specifications, manufactured, prepared, compounded, assembled,  
14 processed, marketed, labeled, distributed, and sold the Eclipse Filter that was implanted into  
15 Plaintiff.

16 144. The Eclipse Filter implanted in Plaintiff contained a condition, which Defendants did not intend;  
17 at the time it left Defendants' control and possession.

18 145. Plaintiff and Plaintiff's health care providers used the device in a manner that was reasonably  
19 foreseeable to Defendants.

20 146. As a result of this condition, the product injured Plaintiff and failed to perform as safely as the  
21 ordinary consumer would expect when used in a reasonably foreseeable manner.

22 147. As a direct and proximate result of the Eclipse Filter's manufacturing defect, Plaintiff has  
23 suffered and will continue to suffer serious physical injuries, economic loss, loss of enjoyment of  
24 life, disability, and other losses, in an amount to be determined at trial.

1

**FIFTH CAUSE OF ACTION**

**BREACH OF IMPLIED WARRANTY OF MERCHANTABILITY**

2

3 148. Plaintiff re-alleges and incorporates by reference each and every allegation contained in the  
4 foregoing paragraphs as though fully set forth herein.

5 149. At all times relevant to this action, Defendants designed, researched, developed, manufactured,  
6 tested, labeled, inspected, advertised, promoted, marketed, sold, and distributed into the stream of  
7 commerce the Eclipse Filter for use as a surgically implanted device used to prevent pulmonary  
8 embolisms and for uses other than as approved and indicated in the product's instructions, warnings,  
9 and labels.

10 150. At the time and place of the sale, distribution, and supply of the Defendants' Eclipse Filter  
11 System to Plaintiff by way of Plaintiff's health care providers and medical facilities, Defendants  
12 expressly represented and warranted, by labeling materials submitted with the product, that the  
13 Eclipse Filter System was safe and effective for its intended and reasonably foreseeable use.

14 151. Defendants knew of the intended and reasonably foreseeable use of the Eclipse Filter, at the time  
15 they marketed, sold, and distributed the product for use by Plaintiff, and impliedly warranted the  
16 product to be of merchantable quality, and safe and fit for its intended use.

17 152. Defendants impliedly represented and warranted to the healthcare community, Plaintiff and  
18 Plaintiff's health care providers, that the Eclipse Filter was safe and of merchantable quality and fit  
19 for the ordinary purpose for which the product was intended and marketed to be used.

20 153. The representations and implied warranties made by Defendants were false, misleading, and  
21 inaccurate because the Eclipse Filter was defective, unsafe, unreasonably dangerous, and not of  
22 merchantable quality, when used in its intended and/or reasonably foreseeable manner. Specifically,  
23 at the time of Plaintiff's purchase of the Eclipse Filter from the Defendants, through Plaintiff's  
24 physicians and medical facilities, it was not in a merchantable condition in that:

25       m. It was designed in such a manner so as to be prone to a statistically high incidence  
26           of failure, including fracture, migration, excessive tilting, and perforation of the  
27           inferior vena cava;

28       n. It was designed in such a manner so as to result in a statistically significant  
          incidence of injury to the organs and anatomy; and

1  
2 o. It was manufactured in such a manner so that the exterior surface of the Eclipse  
3 Filter System was inadequately, improperly and inappropriately prepared and/or  
finished causing the device to weaken and fail.

4 154. Plaintiff and Plaintiff's health care providers reasonably relied on the superior skill and judgment  
5 of Defendants as the designers, researchers and manufacturers of the product, as to whether the  
6 Eclipse Filter was of merchantable quality and safe and fit for its intended use, and also relied on the  
7 implied warranty of merchantability and fitness for the particular use and purpose for which the  
8 Eclipse Filter was manufactured and sold.

9 155. Defendants placed the Eclipse Filter into the stream of commerce in a defective, unsafe, and  
10 unreasonably dangerous condition, and the product was expected to and did reach Plaintiff without  
11 substantial change in the condition in which the Eclipse Filter was manufactured and sold.

12 156. Defendants breached their implied warranty because their Eclipse Filter was not fit for its  
13 intended use and purpose.

14 157. As a proximate result of Defendants breaching their implied warranties, Plaintiff has suffered  
15 and will continue to suffer serious physical injuries, economic loss, loss of enjoyment of life,  
16 disability, and other losses, in an amount to be determined at trial.

17 **SIXTH CAUSE OF ACTION**  
18 **NEGLIGENT MISREPRESENTATION**

19 158. Plaintiff re-alleges and incorporates by reference each and every allegation contained in the  
20 foregoing paragraphs as though fully set forth herein.

21 159. At all times relevant to this cause, and as detailed *supra*, Defendants negligently provided  
22 Plaintiff, Plaintiff's health care providers, and the general medical community with false or incorrect  
23 information, or omitted or failed to disclose material information concerning the Eclipse Filter,  
24 including, but not limited to, misrepresentations relating to the following subject areas:

25 p. The safety of the Eclipse Filter;  
26 q. The efficacy of the Eclipse Filter;  
27 r. The rate of failure of the Eclipse Filter; and  
28 s. The approved uses of the Eclipse Filter.

1 160. The information distributed by Defendants to the public, the medical community and Plaintiff's  
2 health care providers was in the form of reports, press releases, advertising campaigns, labeling  
3 materials, print advertisements, commercial media containing material representations, which were  
4 false and misleading, and contained omissions and concealment of the truth about the dangers of the  
5 use of the Eclipse Filter. Defendants made the foregoing misrepresentations knowing that they were  
6 false or without reasonable basis. These materials included instructions for use and warning  
7 document that was included in the package of the Eclipse Filter that was implanted in Plaintiff.

8 161. Defendants' intent and purpose in making these misrepresentations was to deceive and defraud  
9 the public and the medical community, including Plaintiff's health care providers; to gain the  
10 confidence of the public and the medical community, including Plaintiff's health care providers; to  
11 falsely assure them of the quality of the Eclipse Filter and its fitness for use; and to induce the public  
12 and the medical community, including Plaintiff's healthcare providers to request, recommend,  
13 prescribe, implant, purchase, and continue to use the Eclipse Filter.

14 162. The foregoing representations and omissions by Defendants were in fact false. The Eclipse  
15 Filter is not safe, fit, and effective for human use in its intended and reasonably foreseeable manner.  
16 The use of the Eclipse Filter is hazardous to the user's health, and said device has a serious  
17 propensity to cause users to suffer serious injuries, including without limitation, the injuries Plaintiff  
18 suffered. Further, the device has a significantly higher rate of failure and injury than do other  
19 comparable devices.

20 163. In reliance upon the false and negligent misrepresentations and omissions made by Defendants,  
21 Plaintiff and Plaintiff's health care providers were induced to, and did use the Eclipse Filter, thereby  
22 causing Plaintiff to sustain severe and permanent personal injuries.

23 164. Defendants knew and had reason to know that Plaintiff, Plaintiff's health care providers, and the  
24 general medical community did not have the ability to determine the true facts intentionally and/or  
25 negligently concealed and misrepresented by Defendants, and would not have prescribed and  
26 implanted same, if the true facts regarding the device had not been concealed and misrepresented by  
27 Defendants.

1 165. Defendants had sole access to material facts concerning the defective nature of the product and  
2 its propensity to cause serious and dangerous side effects in the form of dangerous injuries and  
3 damages to persons who are implanted with the Eclipse Filter.

4 166. At the time Defendants failed to disclose and misrepresented the foregoing facts, and at the time  
5 Plaintiff used the Eclipse Filter, Plaintiff and Plaintiff's health care providers were unaware of said  
6 Defendants' negligent misrepresentations and omissions.

7 167. Plaintiff, Plaintiff's health care providers and general medical community reasonably relied upon  
8 misrepresentations and omissions made by Defendants where the concealed and misrepresented facts  
9 were critical to understanding the true dangers inherent in the use of the Eclipse Filter.

10 168. Plaintiff and Plaintiff's health care provider's reliance on the foregoing misrepresentations and  
11 omissions by Defendants' were the direct and proximate cause of Plaintiff's injuries as described  
12 herein.

13 **PUNITIVE DAMAGES ALLEGATIONS**

14 169. Plaintiff re-alleges each and every allegation in this Complaint and incorporates each allegation  
15 into this Count, as if set forth at length, in its entirety.

16 170. Plaintiff is entitled to an award of punitive and exemplary damages based upon Defendants'  
17 intentional, willful, knowing, fraudulent, malicious acts, omissions, and conduct, and their complete  
18 and total reckless disregard for the public safety and welfare.

19 171. Defendants had knowledge of, and were in possession of evidence demonstrating that, the  
20 Eclipse Filter was defective and unreasonably dangerous and had a substantially higher failure rate  
21 than did other similar devices on the market. Yet, Defendants failed to:

22           t.     Inform or warn Plaintiff or her health care providers of the dangers;  
23           u.     To establish and maintain an adequate quality and post-market surveillance  
24                   system; and  
25           v.     Recall the Eclipse Filter from the market.

26 172. Defendants acted to serve their own interests and having reasons to know and  
27 consciously disregarding the substantial risk that their product might kill or significantly harm

1 patients, or significantly injure the rights of others, and consciously pursued a course of conduct  
2 knowing that such conduct created a substantial risk of significant harm to other persons.

3 173. As a direct, proximate, and legal result of Defendants' acts and omissions a described  
4 herein, and Plaintiff implantation with Defendants' defective product, Plaintiff has suffered and will  
5 continue to suffer serious physical injuries, economic loss, loss of enjoyment of life, disability, and  
6 other losses, in an amount to be determined at trial.

7

8 **PRAYER FOR DAMAGES**

9 **WHEREFORE**, Plaintiff, Amilyn Gabbard, prays for relief on the entire complaint, as follows:

10 a. Judgment to be entered against all defendants on all causes of action of this  
11 Complaint, including but not limited to:

12 1. Physical pain and suffering in the past and which, in reasonable  
13 probability, she will continue to suffer in the future;

14 2. Physical impairment and incapacity in the past and which, in reasonable  
15 probability, she will continue to suffer in the future;

16 3. Pain, suffering and mental anguish in the past and which, in reasonable  
17 probability, she will sustain in the future;

18 4. Reasonable and necessary medical expenses for treatment received in the  
19 past and, based upon reasonable medical probability, the reasonable  
20 medical expenses she will need in the future;

21 5. Loss of earning capacity in the past and future;

22 6. Disfigurement in the past and which, in reasonable probability, she will  
23 continue to suffer in the future; and

24 7. Punitive damages.

25 b. Plaintiff be awarded full, fair, and complete recovery for all claims and causes of  
26 action relevant to this action;

27 c. Plaintiff be awarded all appropriate costs, fees, expenses, and pre-judgment and  
28 post judgment interest pursuant to the laws of the State of Illinois as authorized by  
law on the judgments entered in Plaintiff's behalf; and,

d. Such other relief the court deems just and proper.

**DEMAND FOR JURY TRIAL**

Plaintiff hereby demands trial by jury on all issues.

Dated: May 7, 2014  
Respectfully Submitted,  
Amilyn Gabbard

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